

# SGX's key regulatory initiatives in 2017

RELEASE DATE	HEADLINES
Dec 07	Seeks better disclosure on secondary fund raising, interested person transactions and significant transactions and loans
Dec 05	SGX, ABS, ISCA, Law Society and SID jointly launch guide on prevention of insider trading
Nov 29	Plans to make securities settlement and clearing safer and aligned with global practices
Nov 13	Brings back lunch break from 12 pm to 1 pm; increases minimum bid size for certain securities to \$0.01 from \$0.005
Nov 6	Publishes 3 cases studies to make more transparent key concerns to SGX when reviewing listing applications.
Oct 10	Plans changes to rules on securities trading and market practices to ease the entry of new remisiers
Sep 11	SGX RegCo announces board members; operational start on 15 September
Aug 17	SGX and Singapore Institute of Surveyors and Valuers to jointly review practices and reporting for valuations used by listed issuers
Jul 28	SGX clarifies that existing secondary listing framework allows dual class share companies
May 04	SGX reprimands Singapore Post Limited
Apr 06	forms SGX RegCo; appoints Tan Cheng Han chairman, Tan Boon Gin as CEO
Mar 30	Files complaint with China authorities against Wu Xinhua, Executive Chairman and CEO of China Fibretech
Mar 19	Informs Ezra's note-holders company has filed for bankruptcy protection under Chapter 11 of the United States Bankruptcy Code
Mar 13	Raises pro-rata renounceable rights issue cap to 100% of share capital
Mar 08	Mandates minimum Mainboard IPO allocation of 5 per cent, or S\$50 million, whichever is lower, of offer size to retail investors
Mar 01	SGX issues "Trade with Caution" warning for ISR Capital shares
Feb 16	SGX consults public on dual class share structure